

REQUEST FOR BOARD ACTION

HENDERSON COUNTY BOARD OF COMMISSIONERS

MEETING DATE: September 2, 2014

SUBJECT: NCACC Legislative Goals

PRESENTER: Charlie Messer, Chairman

ATTACHMENTS: Yes

1. Memo from David Thompson
2. Legislative Goals Proposal Form
3. Legislative Goals Handbook

SUMMARY OF REQUEST:

At the Board's August 20th meeting, the Board received information on the Legislative Goals process for 2015-16. At that meeting, the following suggestions were made:

- Addressing voter participation
- Capital Projects
- Disposition of Lottery Funds
- ADM Funds
- Discussions with legislators on realistic ideas


The Board is requested to discuss any additional goals, and authorize Staff to submit those goals on behalf of the County.

BOARD ACTION REQUESTED:

The Board is requested to discuss any additional goals, and authorize Staff to submit those goals on behalf of the County.

Suggested Motion(s):

I move the Board authorize Staff to submit the Legislative Goals as approved.



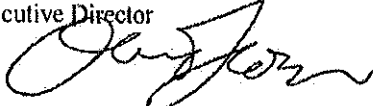
N O R T H C A R O L I N A
A S S O C I A T I O N O F C O U N T Y C O M M I S S I O N E R S

TO: Commissioners, County Managers, Clerks and Affiliate Organization Presidents

FROM: David F. Thompson, Executive Director

DATE: July 1, 2014

RE: NCACC Legislative Goals Process



It is time to begin the NCACC legislative goals development process for the 2015-16 biennium and we are inviting all counties to submit their legislative proposals to the Association. The NCACC goal setting process is open, inclusive and deliberative and is designed to give all 100 counties a voice in developing the Association's legislative agenda.

Before each biennial session of the General Assembly counties submit their proposals to the Association and then commissioners from all across the state review, debate and ultimately approve a comprehensive goals package. When working on legislation that may impact counties, policy makers appreciate that all 100 counties have had an opportunity to be involved in our process. A significant value of the Association is being able to speak with one voice representing all 100 counties. This process allows us to have that impact.

Discussion by your Board of potential goals and receipt of your proposals is critical to the success of our process. Attached is a form for submittal of 2015-16 legislative goals proposals.

When submitting your goals, please keep in mind the following:

- Complete the accompanying goal proposal form.
- Goals may be submitted in any of the following formats: a resolution approved by the Board of Commissioners, a letter from the Chairman of the Board on behalf of the entire board, or by letter from an affiliate organization.
- Proposed goals received on or before September 19 will be referred to the appropriate steering committee for review and consideration.

Included in this package is the 2014 NCACC Legislative Goals Handbook. This handbook includes information about rules, procedures and guidelines for the legislative goals adoption process. Please note the following schedule for your planning purposes:

Legislative goals development timeline

- Sept. 19, 2014 Goals submission deadline
- Sept. - Oct. Steering committees review goals
- November Legislative Goals Committee meets
- December Board of Directors reviews and finalizes recommendations
- January 15-16, 2015 Legislative Goals Conference, membership approval of goals

If you have any questions, please do not hesitate to contact me or Johanna Reese, NCACC Director of Government Relations.

100 Counties



One State



2015-16 biennium

LEGISLATIVE GOALS PROPOSAL FORM

All proposals due to NCACC by Sept. 19, 2014

Mail to: NCACC, Attn. Johanna Reese, 215 N. Dawson St., Raleigh, NC 27603;

E-mail form and documentation to: ncacc@ncacc.org

Fax to: (919) 733-1065

You may attach additional documentation that supports or explains the goal in further detail. Each form must be accompanied by at least one of the following:

- An adopted resolution by the Board of Commissioners;
- A letter from the Board Chairman on behalf of the Board;
- A letter from the president of an affiliate organization;

Date:

Name of county:

Name and title of person submitting goal:

Phone: _____

E-mail: _____

Goal title: _____

Goal description (what is the problem being addressed?):

County staff contact if other than submitter:

Legislative Goals Timeline

Sept. 19: Deadline for submission of proposals

September/October – Steering Committees review goals

November: Legislative Goals Committee review steering committee recommendations

December: Board of Directors finalizes package to be presented to full membership.

Jan. 14-15, 2015: Membership adopts goals at Legislative Goals Conference in Moore County.

Select Steering Committee(s)

You may choose more than one

Agriculture

Environment

General Government

Health & Human Services

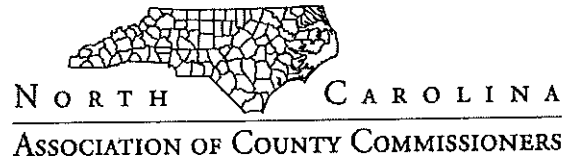
Justice & Public Safety

Public Education

Tax & Finance

You may also submit goals online – please visit

<http://www.ncacc.org/submitgoal>



LEGISLATIVE GOALS HANDBOOK

FALL 2014

THIRD EDITION

THE NCACC LEGISLATIVE GOALS PROCESS

Mission Statement

The Association's Legislative Goals process is thoughtful, deliberative, inclusive and fair. The investment of time and energy, and value of full discourse, strengthens our Association as we navigate the legislative currents. The process is designed to create an informed grassroots organization and to build a cohesiveness of purpose within the organization that, in turn, grants a degree of credibility to the Association that is unique. All counties and all county officials are invited and encouraged to participate in the Legislative Goals process.

CONTENTS

This handbook is intended to offer the reader an overview and guidance about the rules and processes used to develop the legislative agenda of the North Carolina Association of County Commissioners. Information is presented in a format that tracks the chronological course of action for the goals adoption process.

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Background and 2015-16 Timeline

Every two years, in the months preceding a long session of the General Assembly, the North Carolina Association of County Commissioners engages in a process to determine the legislative goals it should seek in the best interest of the counties. The process is thorough, deliberative, and time intensive. It is designed to allow for input from as many county commissioners and other county officials as possible. By maximizing participation from so many of the organization's members, it is possible to reach agreement on goals that are important to all members of this diverse organization.

The NCACC goals-setting process begins in July of each even-numbered year and consists of five stages. These stages are described in detail in this handbook.

Below is the recommended timeline for the 2015-16 legislative goals process:

Legislative Goals Process Timeline	
When	What
July - September	Submission of county goal proposals
September - October	Steering committees review goals
November 18, 19, 20	Legislative Goals Committee reviews steering committee recommendations, approves package of goals for Board of Directors
December	Board of Directors finalizes package to be presented to full membership
January 15-16, 2015	Membership adopts goals at Legislative Goals Conference

Stage 1 - Seeking Proposed Goals (July - September)

The Association's goals-setting process begins with an effort to elicit proposals that members think should be part of the county legislative "package" for the upcoming legislative biennium. Through CountyLines, e-mail communications and other means, the Association solicits proposals from county boards of commissioners. Suggested goals can be submitted via adopted resolutions from full boards, from the Board Chairmen via letter on behalf of the full boards, and from affiliate and related county organizations (which are groups of county officials/staff organized by function) via a letter from those organizations' presidents.

Presently, the following organizations are included as Affiliate and Related organizations:

Affiliates

- Association of North Carolina Boards of Health
- Human Resources Directors' Association of North Carolina Counties
- N.C. Association of Assessing Officers
- N.C. Association of County Boards of Social Services
- N.C. Association of County Attorneys
- N.C. Association of County Clerks to the Board
- N.C. Association of County Directors of Social Services
- N.C. Association of County Finance Officers
- N.C. Association of Emergency Medical Services Administrators (NCAEMSA)
- N.C. Association of Local Health Directors
- N.C. Association of Registers of Deeds
- N.C. Tax Collectors' Association

Related Organizations

- NCACC Board of Trustees
- Joint Regional Forum
- N.C. City/County Managers Association
- N.C. Association of County Department of Social Services Attorneys

The Association intentionally casts a wide net to encourage inclusiveness and full membership participation. Discussion and action on proposed goals by full boards of commissioners is requested because this interaction contributes to the deliberative process and builds consensus.

Counties are provided a form on which to submit proposed goals. This streamlines the internal processing of proposals. Forms can be requested by contacting the Association office or can be accessed on the NCACC website at www.ncacc.org. A sample form is included in the Appendix of this handbook. Goals may also be submitted via the NCACC website.

Stage 2 - Steering Committee Review (September – October)

The Steering Committee Review is the first step in our legislative goals development process. The Association has seven Steering Committees, organized by subject matter. These are permanent, standing committees. Membership on the Steering Committees is open to any county official – elected, appointed or administrative; chairs are appointed by the Association President following each annual conference. The Steering Committees are:

- Agriculture
- Environment
- General Government
- Health and Human Services
- Justice and Public Safety
- Public Education
- Taxation and Finance

Proposed goals are sorted according to subject matter by NCACC staff and referred to the appropriate Steering Committees for review. A goal may be assigned to a “lead” steering committee that will take action on the goal, but the same goal may also be assigned to a secondary steering committee for the purpose of receiving “comments only.” The intent of this process is to allow for the issues raised in a proposed goal that may bridge two or more subject matter areas, to receive greater review and opportunity for committee input. The comments will be sent to the Legislative Goals Committee for their review. Steering Committees may hear from county officials who submit goal proposals and also may review staff research and analysis. The participation of county staff on Steering Committees provides professional expertise that can be critically important when Steering Committees deliberate. Though staff expertise is critical, particularly at this early stage, as the goals-setting process moves forward elected county commissioners will ultimately decide the legislative goals package.

During the goal-setting process the steering committee members are asked to make specific recommendations and take action on each submitted goal. Committee actions include, but are not limited to:

- Favorable – A goal is approved to send to the Legislative Goals Committee for further discussion and consideration.
- Amend – The committee may revise or amend a proposal.
- Unfavorable – The committee declines to send the goal forward.
- Forward Without Prejudice – The Committee has no opinion on the proposal, but wants the Legislative Goals Committee to consider it.
- Needs Further Study – The Committee has insufficient information to make an informed decision and requests that the sponsors either do further research or bring back answers to particular questions at a later date.

In addition to considering proposed goals submitted by counties and partner organizations, the Steering Committees review goals from the previous legislative session to determine whether they are still relevant and should be continued. The committees are also

empowered to develop their own suggested goals when needed. Each committee submits its proposed goals to the Legislative Goals Committee.

Guiding Principles Review

The Steering Committees also review the Association's subject area guiding principles. The guiding principles are general declarations of ongoing positions that give guidance on broad policy objectives, such as "no mandates without funding." These statements also guide the staff in dealing with unanticipated issues that arise in the legislative context or that affect the counties in other ways, as with proposed administrative rules and regulations or state agency policies.

Because the Steering Committees are ongoing and have broad member participation, they are a critical first step in the Legislative Goals development process. The committees meet quarterly and are available to review legislation during the legislative session; they are used to guide unanticipated legislative action and to recommend any actions that might be advisable to the NCACC Board of Directors for formal consideration and action. This issue is described in greater detail in a later section included in this handbook.

Stage 3 - Legislative Goals Committee (November)

The Legislative Goals Committee is a non-standing committee. The committee membership is traditionally no more than 35 members. It is newly appointed every two years and meets as needed. Members are selected to assure balance with respect to political affiliation, county population, race, gender and other demographic characteristics. Two co-chairs, a Republican and a Democrat, are appointed by the NCACC President to lead the Legislative Goals Committee.

The members of the Legislative Goals Committee include:

- Legislative Goals Committee Chairs
- Steering Committee Chairs
- The NCACC Board of Trustees Chair or their designee
- An elected commissioner from each of the Association's 18 districts
- Other members as appointed by the President.

The Legislative Goals Committee reviews the recommendations of the Steering Committees. Steering Committee chairs present their committees' recommendations and relay the committee discussion regarding each proposal. The county officials who want to advocate for their proposals are allowed to make presentations to the Legislative Goals Committee. The committee may also review research and analysis related to the proposals provided by NCACC staff.

The Legislative Goals Committee may and is encouraged to narrow the list of proposals; it also reconciles conflicts or duplication between steering committee recommendations, and prioritizes its recommendations. The Goals Committee may rank goals in a priority order and may also select a limited number of priority goals to assist in focusing the Association's legislative efforts. The proposals and guiding principles are then submitted to the Board of Directors for consideration.

Core Values Statement Review

The Goals committee is also charged with reviewing the Association's core value statement. This statement provides fundamental policy guidance with regard to the Association's advocacy efforts. The Goals committee may review, evaluate and make suggested changes to periodically update these core values to recognize changing advocacy environments. The Committee shall include the core values statement along with its recommendations to the Board of Directors for inclusion in the goals package to be presented to the full membership.

Stage 4 - Board of Directors (December)

As the Association's goal-setting process moves forward, the elected county commissioners become more involved in the decision-making process. The Board of Directors is almost exclusively elected commissioners, with the one exception of a non-voting county manager.

At its December meeting, the Board reviews the recommendations of the Legislative Goals Committee. As at earlier stages of the process, the Board may hear presentations, review research and analysis, add, delete or amend proposals, the core values and guiding principles statement.

The Board gives final approval to a package of goals proposals, Association core values, and guiding principles that are to be voted on by the full membership at the Legislative Goals Conference in January. By taking this action in December, the individual county boards of commissioners have time and opportunity for a full review prior to the conference.

Stage 5 - Legislative Goals Conference (Jan. 15-16, 2015)

The final stage of the Association's Legislative Goals process is the Legislative Goals Conference, to which all Association members are invited. Historically, more than 85 counties are represented, exemplifying an outstanding degree of interest and participation. The conference is spread over a day and a half, assuring time for thorough discussion, deliberation and debate. In recognition of the need to involve newly elected commissioners in the process, the Board appoints a Screening Committee to receive any new proposals that have not been through the development process by this stage. This process is discussed in greater detail below.

The goals conference is conducted according to rules designed to encourage participation, to provide a balance between inclusiveness and respect for the process and efforts of the committees and the Board up to this point. In the past, the conference started with a pre-lunch nonvoting discussion of the proposed goals. This allows commissioners not previously involved in the deliberations, particularly newly elected commissioners, to familiarize themselves with the issues. Goals Committee Chairs, Steering Committee Chairs, and NCACC staff review the proposed goals and answer questions raised by attendees.

A luncheon is held for attendees and a guest speaker (usually an elected state leader) will address the membership regarding the current state of the legislative climate.

After the lunch program, the conference officially is convened by the Association President. It is recommended that the voting process be reviewed with voting delegates in advance of official voting.

Goals Voting Process and Procedures

- Prior to the conference:
 - The NCACC staff shall coordinate with the President, the Goals Chairs and the Parliamentarian to review the voting process and procedures.
 - Every member county appoints a voting delegate. A letter requesting notification of the county's voting delegate shall be sent from the Association to each county.
- At the conference:
 - There shall be a process by which voting delegates register to obtain appropriate voting credentials.
 - Every county in attendance has one vote. The voting delegate can be any county official, including non-elected officials, and a county may choose an alternate. No proxies are allowed.
 - Goals are presented en bloc by each steering committee subject category. Goals Committee Chairs present the goals to the membership. The Association President or presiding officer is responsible for action.
 - All motions shall be ruled upon by the Association President or presiding officer.

- The President of the North Carolina Association of County Commissioners shall appoint a parliamentarian for the conference.
- Upon motion of any voting delegate, any individual goal proposal can be set aside for detailed discussion.
- Majority votes are required to approve or alter the proposed goals.
- Pursuant to Article VIII, Section Three of the NCACC Constitution the latest edition of Robert's Rules of Order shall be the parliamentary authority on questions not covered by the Constitution or by this handbook.

Screening Committee Process

The Association adopted a Screening Committee process to allow for consideration of new goals or proposals previously presented but not approved. The Screening Committee is composed of the Legislative Goals Committee chairs and the seven Steering Committee chairs. The Screening Committee meets at the end of the first day of the conference to review and hear presentations on submitted proposals.

Rules for the Screening Committee process are as follows:

- Any new or previous goal proposal must be submitted by 1 p.m. on the first day of the conference.
- Goals approved and recommended by the Screening Committee are brought to the floor for consideration by the voting delegates on the second day of the conference. A majority vote of the delegates is required for approval.
- Any proposal not approved by the Screening Committee can only be brought to the floor for consideration upon a vote of two-thirds of the number of voting delegates present at 2 p.m. on the first day of the conference. (NOTE: This requirement recognizes that by the end of the second day, voting delegates may leave, and it protects the members from having their goals process circumvented by what could be a relatively small number of delegates.)
- The Screening Committee has the authority to request a Steering Committee to give further study to newly proposed ideas. Any Steering Committee recommendations that derive from this kind of study can be presented to the Board of Directors for consideration at a later date.

Conclusion

All goals and policies approved at the Legislative Goals Conference are included in the official NCACC Legislative Goals package. The official document containing the Association's core values, legislative goals and guiding principles shall be mailed to all 100 counties and presented to each member of the North Carolina General Assembly, to the Governor, and to other executive branch leaders.

Guidance Outside of Legislative Goals Process

Throughout the legislative biennium, new issues will arise that were not anticipated or considered during the organization's legislative goals process. The Association Steering Committees have the authority and responsibility to study new issues, to research and analyze the effect or implications of proposed legislation, and to make recommendations to the Board of Directors. Any such action is communicated on a regular basis to the Association members through the web-site, through CountyLines, and through other means as needed.

Upon recommendation of the Legislative Goals Committee Chairs, the committee may meet between the legislative long and short sessions, to review goal progress and make suggestions to the Board of Directors related to goal priorities, especially given an ever-changing legislative environment.

Appendix



2015-16 biennium

LEGISLATIVE GOALS PROPOSAL FORM

All proposals due to NCACC by Sept. 19, 2014

Mail to: NCACC, Attn. Johanna Reese, 215 N. Dawson St., Raleigh, NC 27603;

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- An adopted resolution by the Board of Commissioners;
- A letter from the Board Chairman on behalf of the Board;
- A letter from the president of an affiliate organization;

Date:	Name of county:
Name and title of person submitting goal:	
Phone:	
E-mail:	
Goal title:	
Goal description (what is the problem being addressed?):	
SAMPLE	
County staff contact if other than submitter:	

Legislative Goals Timeline

Sept. 19: Deadline for submission of proposals

September/October – Steering Committees review goals

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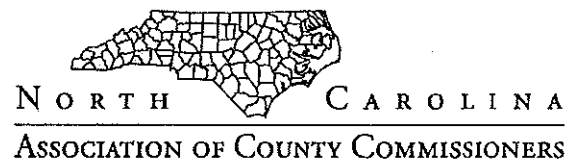
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- Health & Human Services
- Justice & Public Safety
- Public Education
- Tax & Finance



215 NORTH DAWSON STREET
RALEIGH, NORTH CAROLINA 27603

919-715-2893

WWW.NCACC.ORG